

2314-179
JLI:ch

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Maren WATKINS et al.)
Serial No. 09/493,795)
Filed: 28 January 2000)
For: ALPHA-CONOTOXIN PEPTIDES)



BOX MISSING PARTS

Attention:
Applications Branch

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a *Notice to File Missing Parts of Application* under 37 CFR 1.53(b) dated 27 March 2000, response copy attached, three (3) Declaration and Power of Attorney documents identifying the above application by Serial Number and Filing Date are herewith submitted. Also attached is a check in payment of the following fees:

\$ 345.00	(basic filing fee)
405.00	(excess claims fee)
<u>65.00</u>	(surcharge under 37 CFR 1.116(e))
\$ 815.00	TOTAL FILING FEE

including

	<u>55.00</u> (one-month extension fee)
CHECK AMOUNT	\$ 870.00

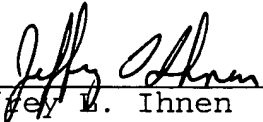
Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to Deposit Account No.02-2135. An additional copy of this letter is enclosed for that purpose.

The following documents are being submitted concurrently under separate cover:

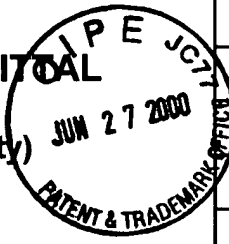
- Two (2) Small Entity Statements (Small Business and Non-Profit Organization).
- Petition for One-Month Extension of Time, in duplicate (the \$55.00 fee is included in the above check amount)

Respectfully submitted,

By


Jeffrey L. Ihnen
Attorney for Applicants
Registration No. 28,957
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040

Dated: 27 June 2000

FEE TRANSMITTAL (Small Entity) <div style="text-align: center;">  </div>		ATTN: Box Missing Parts	
		Application Number	09/493,795
		Filing Date	28 January 2000
		First Named Inventor	Maren WATKINS
		Group Art Unit	
		Examiner Name	
Total Amount of Payment	(\$ 870.00)	Attorney Docket Number	2314-179

METHOD OF PAYMENT (check one)

1. ☒ [XX] The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Kurz
- ☒ [XX] Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ [] Charge for the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ [XX] Payment Enclosed: Check

FEE CALCULATION
1. FILING FEE

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> [X] Utility Filing Fee	201	345
<input type="checkbox"/> [] Design Filing Fee	206	155
<input type="checkbox"/> [] Plant Filing Fee	207	240
<input type="checkbox"/> [] Reissue Filing Fee	208	345
<input type="checkbox"/> [] Provisional Filing Fee	214	75
SUBTOTAL		\$345

2. CLAIMS

	Fee from	Extra	below	Fee Paid
Total Claims	39 - 20 = 19	x	\$ 9	= 171.00
Independent Claims	9 - 3 = 6	x	39	= 234.00
Multiple Dependent Claims		+	130	=
SUBTOTAL				\$ 405

FEE CALCULATION (continued)
3. ADDITIONAL FEES

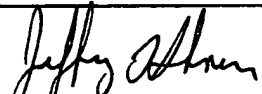
Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> [X] Surcharge - late filing fee or oath	205	65
<input type="checkbox"/> [] Surcharge - late provisional filing fee or cover sheet	227	25
<input type="checkbox"/> [] Non-English specification	139	130
<input type="checkbox"/> [] For filing a request for reexamination	147	2,520
<input type="checkbox"/> [] Requesting publication of SIR prior to Examiner action	112	920
<input type="checkbox"/> [] Requesting publication of SIR after Examiner action	113	1,840*
<input checked="" type="checkbox"/> [X] Extension for reply within first month	215	55
<input type="checkbox"/> [] Extension for reply within second month	216	190
<input type="checkbox"/> [] Extension for reply within third month	217	435
<input type="checkbox"/> [] Extension for reply within fourth month	218	680
<input type="checkbox"/> [] Extension for reply within fifth month	228	925
<input type="checkbox"/> [] Notice of Appeal	219	150
<input type="checkbox"/> [] Filing a brief in support of an appeal	220	150
<input type="checkbox"/> [] Request for Oral Hearing	221	130
<input type="checkbox"/> [] Petition to institute a public use proceeding	138	1,510
<input type="checkbox"/> [] Petition to revive -unavoidable	240	55
<input type="checkbox"/> [] Petition to revive - unintentional	241	605
<input type="checkbox"/> [] Utility issue fee (or reissue)	242	605
<input type="checkbox"/> [] Design issue fee	243	215
<input type="checkbox"/> [] Plant issue fee	244	290
<input type="checkbox"/> [] Petitions to the Commissioner	122	130
<input type="checkbox"/> [] Petitions related to provisional applications	123	50
<input type="checkbox"/> [] Submission of Information Disclosure Statement	126	240
<input type="checkbox"/> [] Recording each patent assignment per property (times number of properties)	581	40
<input type="checkbox"/> [] Filing a submission after final rejection (37 CFR 1.29(a))	246	345
<input type="checkbox"/> [] For each additional invention to be examined (37 CFR 1.129(b))	249	345

Other fee (specify)

☐ []

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$120

SUBMITTED BY				Complete (if applicable)	
NAME & REG. NUMBER	Jeffrey L. Ihnen, Reg. No. 28,957				
SIGNATURE		DATE	27 JUNE 2000	DEPOSIT ACCT USER ID	02-2135

FORMALITIES LETTER



OC000000005009631

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

H3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/493,795	01/28/2000	Maren Watkins	2314-179

Jeffrey L Ihnen
Rothwell Figg Ernst & Kurtz
Suite 701 East 555 13th Street NW
Washington, DC 20004



Date Mailed: 03/27/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$810.
 - \$342 for 19 total claims over 20.
 - \$468 for 6 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter. For questions regarding compliance to these requirements, please contact:
 - For Rules Interpretation, call (703) 308-1123
 - For CRF Submission Help, call (703) 308-4212
 - PatentIn Software Program Support
 - - For Technical Assistance, call (703) 287-0200
 - - To Purchase PatentIn Software, call (703) 306-2600
- The balance due by applicant is \$ 1630.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

06/28/2000 GTEFFERA 00000120 09493795

01 FC:201
02 FC:203
03 FC:202
04 FC:205

345.00 OP
171.00 OP
234.00 OP
65.00 OP